JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 30.06.2015

(I) (a) Statement showing Shareholding Pattern

SCRIP C	ODE : 532642	Name of Scrip : JSWHL	Class of Security	: Equity	Quarter E	nded : 30.06	.2015	
Cate-						Number of	As a % of total	As a % of total
gory	Partly paid up s	<u>shares</u>				partly paid	number of partly	number of shares
Code						up shares	paid up shares	of the Company
	Held by Promote	er/Promoter group				NIL	NIL	NIL
	Held by Public					NIL	NIL	NIL
	Total					NIL	NIL	NIL
						Number of	As a % of total	As a % of total
	Outstanding Co	onvertible Securities				outstanding	No. of outstanding	No. of shares of the
						Securities	Con. Securities	Company, assuming
								full conversion of the
								Securities
	Held by Promote	er/Promoter group				NIL	NIL	NIL
	Held by Public					NIL	NIL	NIL
	Total					NIL	NIL	NIL
						Number of	As a % of total	As a % of total
						warrants	number of warrants	number of shares
	Warrants							of the Company
								assuming full
								conversion of warrants
	Held by Promote	er/Promoter group				NIL	NIL	NIL
	Held by Public					NIL	NIL	NIL
	Total					NIL	NIL	NIL
	Total paid up ca	apital of the Company, assu	ning full			1,10,99,625	shares of Rs.10/- each	
	conversion of v	varrants and convertible sec	urities				.11,09,96,250/-)	

Cate-		Number of	Total No.	Number of	Total shareh	nolding as	Share	es pledged or
gory Category of		Share-	of shares	shares held	a percentaç	ge of total	otherwi	se encumbered
Code	Shareholders	holders		in demated	number o	f shares	Number of	As a
				form	As a perce		Shares	%
	(11)		()		(A+B) ¹	(A+B+C)	/ · · · · · ·	
(1)	(II) Shareholding of Promoter	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)								
(1)	and Promoter Group ² Indian							
(a)	Indian Individuals/H.U.F	21	26742	26742	0.24	0.24		
(b)	Cental/State Government(s)	0	0	0	0.24	0.00		
(c)	Bodies Corporate	13	6729294	6729294	60.63	60.63		
(d)	Financial Institutions/Banks	0	0723234	0/23234	0.00	0.00		
(e)	Any Other (specify)	0	Ü	<u> </u>	0.00	0.00		
(0)	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benfit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	34	6756036	6756036	60.87	60.87	N.A.	N.A.
(2)	Foreign	34	0730030	0730030	00.07	00.07	N.A.	N.A.
(2)								
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A
	Total holding of Promoter and							
	Promoter Group	34	6756036	6756036	60.87	60.87	N.A.	N.A
	(A)=(A)(1)+(A)(2)							
(B)	Public Shareholding3							
(1)	Institutions							
(a)	Mutual Fund/UTI	15	4591	3898	0.04	0.04		
(b)	Financial Institutions/Banks	12	11101	10483	0.10	0.10		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	27	1877646	1876384	16.92	16.92		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	54	1893338	1890765	17.06	17.06	N.A.	N.A
(2)	Non Institutions							
(a)	Bodies Corporate	646	607797	603945	5.48	5.48		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	23961	1512537	1316914	13.63	13.63		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	10	224643	224643	2.02	2.02		
(c)	Any Other(specify) Individual						`	
-	Non-Resident Individual	1222	104832	68457	0.94	0.94		
	Foreign National	1	100	100	0.00	0.00		
	Overseas Corporate Bodies	1	25	0	0.00	0.00		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	3	317	317	0.00	0.00		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	25844	2450251	2214376	22.08	22.08	N.A.	N.A
	Total Public shareholding (B)=(B)(1)+(B)(2)	25898	4343589	4105141	39.13	39.13		
	TOTAL (A)+(B)	25932	11099625	10861177	100.00	100.00	N.A.	N.A
	Shares held by Custodians and	20002	300020		.00.00			
(C)	against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	0	0	0	0.00	0.00		
		U	0	0	0.00	0.00	1	i

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No. Name of the shareholder		Details of Shares held		Enc	Encumbered shares(*)			of warrants	Details of seco	Total shares (including underlying	
		No of Shares held	As a % of grand total (A)+(B)+(C)		As a Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities as a % of diluted share capital
(1)	(11)	()	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	<u>Individuals</u>										
1	R K JINDAL & SONS HUF .	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
2	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
3	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
4	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
5	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	S K JINDAL AND SONS HUF	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
7	SMINU JINDAL	1381	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
8	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00		NA	NA	NA	0.01
9	SHRADHA JATIA	1257	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
10	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
12	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	URVI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	SANGITA JINDAL	1181	0.01	0				NA	NA	NA	0.01
	P R JINDAL HUF	1122	0.01	0	0.00	0.00		NA	NA	NA	0.01
16	NAVEEN JINDAL	691	0.01	0	0.00			NA	NA	NA	0.01
17	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	PARTH JINDAL	500	0.00	0	0.00			NA	NA	NA	0.00
	TANVI JINDAL	500	0.00	0	0.00			NA	NA	NA	0.00
	AARTI JINDAL	250	0.00	0				NA	NA	NA	0.00
21	SAROJ BHARTIA	34	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
	Sub - Total (a)	26742	0.24	0	0.00	0.00	NA	NA	NA	NA	0.24

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Sr.No. Name of the shareholder		Details of Shares held		Encumbered shares(*)			Details of warrants		convertible urities	Total shares (including underlying
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
(1)	(11)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
2	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
3	Reynold Traders Pvt. Ltd	320500	2.89	0	0.00	0.00	NA	NA	NA	NA	2.89
4	SAHYOG TRADCORP PRIVATE LIMITED	822674	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
5	OPJ TRADING PRIVATE LIMITED	822673	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
6	VIRTUOUS TRADECORP PRIVATE LIMITED	822673	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
7	DANTA ENTERPRISES PRIVATE LIMITED	822672	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
8	JSW INVESTMENT PVT LTD	554500	5.00	0	0.00	0.00	NA	NA	NA	NA	5.00
9	JINDAL COAL PRIVATE LIMITED	441518	3.99	0	0.00	0.00	NA	NA	NA	NA	3.99
10	GLEBE TRADING PRIVATE LIMITED	435511	3.92	0	0.00	0.00	NA	NA	NA	NA	3.92
11	JSL LIMITED	433828	3.91	0	0.00	0.00	NA	NA	NA	NA	3.91
12	VINAMRA CONSULTANCY PVT LTD	115293		0	0.00	0.00		NA	NA	NA	1.04
13	Hexa Tradex Limited	334	0.00	0	0.00	0.00	NA NA	NA	NA	NA	0.00
	Sub-Total (b)	6729294	60.63	0	0.00	0.00	NA	NA	NA	NA	60.63
	Grand Total	6756036	60.87	0	0.00	0.00	NA	NA	NA	NA	60.87

^(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations, 2011

Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

			Shares as a	Details of	warrants		or convertible ecurities	Total shares (including	
Sr. No.	Name of the shareholder	Number of shares held	percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	No of warrants held	As a % of total number of warrants of the same class	Number of	As a % of total number of convertible securities of the same class	underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital	
1	SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	521000	4.69	NA	NA	NA	NA	4.69	
2	STREAM VALUE FUND	427000	3.85	NA	NA	NA	NA	3.85	
1 3	AQUARIUS INDIA OPPORTUNITIES FUND	133237	1.20	NA	NA	NA	NA	1.20	
4	ACACIA PARTNERS,LP	219500	1.98	NA	NA	NA	NA	1.98	
-	ACACIA INSTITUTIONAL PARTNERS,LP	171200	1.54	NA	NA	NA	NA	1.54	
	TOTAL	1471937	13.26	NA	NA	NA	NA	13.26	

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr.No.

Name(s) of the shares | Number of shares as a percentage of total number of total number of total number of shares (i.e., led) | Number of shares (i.e., led) | Number of shares (i.e., led) | Number of led) | Number o

shareholder(s) and	shares	percentage of	No of	As a % of total	Number of	As a % of total	(including
the Persons Acting in		total number of	warrants	number of	convertible	number of	underlying
Concert (PAC) with		shares {i.e.,	held	warrants of the	securities	convertible	shares
them		Grand Total		same class	held	securities of the	assuming full
		(A)+(B)+(C)				same class	conversion of
NIL							
TOTAL	0	0.00	NA	NA	NA	NA	0

Promoter / Promoter Group / Public

(1) (d)	Statement showing deta	ails of locked in shares		
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e.Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}	Promoter / Promoter Group / Public
	NIL	NIL	0.00	-
	TOTAL	0		
(II) (a)	Statement showing deta	ails of Depository Recei	ots (DRS)	
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			
(II) (b)	Statement showing hold group" are in excess of		ipts (DRs) where underlying shares he ares	ld by "promoter / promoter
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}

TOTAL

ategory ode	Category of shareholder	Number of in each class			Total Voting Rights	Total Voting Rights i.e. (VI)		
						As a %	As a %	
		Class X	Class Y	Class Z	(III+IV+V)	of (A+B)	of (A+B+C)	
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	
(A)	Promoter and Promoter Group			1	T			
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	_						
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)	NI A	NI A	NI A	NI A	NI A	NI A	
(2)	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign Individuals (Non Resident/Foreign)							
(a) (b)	Bodies Corporate					1		
, ,	Institutions							
(c) (d)	Any Other (specify)	+						
(u)	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Promoter	N.A.	N.A.	N.A.	N.A.	IN.A.	N.A.	
	and Promoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/UTI							
(b)	Financial Institutions/Banks							
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding							
	nominal share capital upto							
	Rs. 1 lakh							
	ii.Individual shareholders holding							
	nominal share capital in excess							
, .	of Rs. 1 lakh.							
(c)	Any Other (specify)							
	Sub-Total (B) (2)							
	Total Public shareholding (B)=(B)(1)+(B)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	Shares held by Custodians and							
	against which Depository Receipts							
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	